

## RECOMMENDATION LOCATOR

Rec. No.	Page No.	Recommendation Summary	CFDA No. / Compliance Requirement/ Federal Entity	Agency Response	Implement- ation Date	Contact for Corrective Action Plan
<b>Department of Corrections</b>						
1	16	The Department of Corrections should ensure that all federal program reports are completed accurately to account for all transactions affecting those programs.	39.003 Reporting (L) General Services Administration	Agree.	9/30/1997	Dennis Diaz (719)269-4049
<b>Department of Health Care Policy and Financing</b>						
2	19	The Department of Health Care Policy and Financing should improve its management of accounts receivable by ensuring reconciliations are complete and performed in a timely manner and by further automating the reconciliation process.	93.778 Reporting (L) Department of Health and Human Services	Agree.	11/30/1998	Phil Reed (303)866-2764
3	21	The Department of Health Care Policy and Financing should improve its oversight of the collection of Medicaid overpayments by improving the tracking, reporting, and analysis of identified overpayments and using this information to aid county collection efforts.	93.778 Reporting (L) Department of Health and Human Services	Agree.	8/31/2000	Phil Reed (303)866-2764
<b>Colorado State University</b>						
4	26	Colorado State University should implement procedures to ensure all charges to Sponsored Programs exceeding the \$1,000 threshold are reviewed and approved.	All grants with the exception of the Student Financial Aid Cluster Allowable Costs/Cost Principles (B) See applicable federal entities in the detailed report comment	Agree.	6/30/1998	Gary Goss (970)247-7368

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5	28	<b>Front Range Community College</b> Front Range Community College should investigate and resolve differences between the Federal Cash Transactions Report and the general ledger.	84.063, 84.033 & 84.007 Reporting (L) Department of Education	Agree.	6/30/1998	Sam Trevino (303)404-5241
6	34	<b>Department of Human Services</b> The Department of Human Services should develop and implement a more comprehensive fiscal management system for federal programs.	84.126, 96.001, 93.959, 93.560, 93.568, 93.561 & 93.563 Cash Management (C) Departments of Education, Social Security Administration, Department of Health and Human Services	Agree.	6/1/1998	Ed White (303)866-3620
7	38	The Department of Human Services, Division of Disability Determination Services, should review its procedures for purchasing personal services including using competitive bidding, reviewing its fee schedule, standardizing procedures for rate adjustments, and ensuring compliance with federal and state regulations.	96.001 Procurement (I) Social Security Administration	Agree.	9/1/1998	Ed White (303)866-3620

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8	41	The Department of Human Services should make appropriate changes to ensure consistency between how it manages the state and veterans nursing homes as business entities and how it groups the homes for the TABOR enterprise calculation. Statutory revisions should be sought, if required.	64.015 Allowable Costs/Cost Principles (B) Department of Veterans Affairs	Partially agree.	--	Ed White (303)866-3620
9	44	The Department of Human Services, Division of Vocational Rehabilitation, should develop a process for bidding services that complies with the State Procurement Code and State Fiscal Rules.	84.126 Procurement (I) Department of Education	Agree.	10/1/1997	Ed White (303)866-3620
10	46	The Department of Human Services, Division of Vocational Rehabilitation, should develop clear policies and procedures for contract monitoring, including the definition of staff responsible, and clearly defined objectives and monitoring activities.	U-4421-4-00-88-60 Procurement (I) Department of Labor	Agree.	10/1/1997	Ed White (303)866-3620
<b>Department of Local Affairs</b>						
11	50	The Department of Local Affairs should pursue appropriate funding sources for the costs associated with administering the Youth Crime Intervention Program.	84.186, 93.569 & 94.006 Allowable Costs/Cost Principles (B) Departments of Education, Health and Human Services and the Corporation for National Community Service	Agree.	12/31/1997	Rose Marie Auten (303)866-2252

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<b>Department of Natural Resources</b>						
12	53	The Department of Natural Resources should complete the review and correction of information on the report tracking system in a timely manner to ensure that schedules generated by the system contain correct due dates.	15.250, 15.605, 20.005 & 15.503 Reporting (L) Departments of the Interior and Transportation	Agree.	12/31/1998	Bob Goodnough (303)866-2857
<b>Department of Public Health and Environment</b>						
13	56	The Department of Public Health and Environment should continue to seek approval from the oversight federal agency for the statistical distribution system. In addition, the system should be implemented on a departmentwide basis.	93.268 Allowable Costs/Cost Principles (B) Department of Health and Human Services	Agree.	9/30/1998	Ray Campbell (303)692-2125
14	57	The Department of Public Health and Environment should charge costs to the Rocky Flats AIP grant that are identified specifically with the performance of that grant. Other costs should be funded from the appropriate sources. To determine the adequacy of current funding sources, accurate time reporting, by function, should be utilized.	81.110 Activities Allowed or Unallowed (A) Department of Energy	Agree.	8/31/1998	Ray Campbell (303)692-2125
<b>Department of Transportation</b>						
15	60	The Transportation Development Section of the Department of Transportation should place a greater priority on reviewing subrecipients audit reports in a timely manner.	20.308, 20.513 & 20.509 Subrecipient Monitoring (M) Department of Transportation	Agree.	3/31/1998	George McCullar (303)757-9657

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<b>Department of Education</b>						
16	64	The Department of Education should improve the submission of the Nutrition Unit's on-site review reports to the LEAs in a timely manner by ensuring training is conducted in a timely manner for all new staff to attain the knowledge of the on-site review procedures and the applicable compliance issues.	10.555 Special Tests and Provisions (N) Department of Agriculture	Agree.	12/31/1997	Lyle Wulbrandt (303)866-6801
<b>University of Colorado at Boulder and Health Sciences Center</b>						
17	69	The University of Colorado at Boulder and the Health Sciences Center should improve procedures to perform timely monitoring of subrecipients.	93.333, 93.853 & 93.865 Subrecipient Monitoring (M) Department of Health and Human Services	Agree.	1/31/1998	Anne Tolbert (303)492-5551
<b>Colorado State University</b>						
18	72	Colorado State University should implement procedures to monitor subrecipients based on yearly expenditures exceeding \$300,000 per the revised Circular A-133.	10.200 & 93.856 Subrecipient Monitoring (M) Departments of Agriculture and Health and Human Services	Agree.	6/30/1998	Gary Goss (970)491-6023

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<b>Fort Lewis College</b>						
19	73	Fort Lewis College should revise the Perkins Loans billing procedures to comply with federal requirements.	84.038 Special Tests and Provisions (N) Department of Education	Agree.	6/30/1998	Gary Goold (970)247-7368
20	73	Fort Lewis College should ensure that “the last date of attendance” is used when calculating financial aid refunds.	84.032, 84.033 & 84.063 Special Tests and Provisions (N) Department of Education	Agree.	6/30/1998	Gary Goold (970)247-7368
21	74	Fort Lewis College should continue its efforts to obtain credit for the Pell awards for FY’92-93 and FY’93-94 from the U.S. Department of Education.	84.063 Activities Allowed or Unallowed (A) Department of Education	Agree.	6/30/1998	Gary Goold (970)247-7368
<b>Metropolitan State College</b>						
22	76	Metropolitan State College should strengthen controls over student financial assistance programs so that exit conferences are completed as required and Colorado Diversity grant awards are only made to eligible students.	84.032 Special Tests or Provisions (N) Department of Education	Agree.	1/31/1998	Sita Thomas (303)556-3030

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<b>Red Rocks Community College</b>						
23	77	Red Rocks Community College should designate a specific individual to oversee the pro-rata refund policy.	84.063, 84.033 & 84.007 Allowable Costs/Cost Principles (B) Department of Education	Agree.	6/30/1998	Wes Geary (303)914-6327
<b>Otero Junior College</b>						
24	78	Otero Junior College should implement a procedure to ensure pro-rata refunds are processed in a timely manner.	84.063, 84.033 & 84.007 Allowable Costs/Cost Principles (B) Department of Education	Agree.	6/30/1998	Charles Jacob (719)384-6823
<b>School of Mines</b>						
25	79	The School of Mines should increase efforts to print award announcements when types and/or amounts are revised. One copy of the announcement should be mailed to the student and the other retained in the student's financial aid file.	84.038, 84.033, 84.007 & 84.063 Reporting (L) Department of Education	Agree.	9/30/1997	Steve Bridgeman (303)273-3262

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26	80	The School of Mines budget revision memos should be signed and authorized by appropriate personnel.	CFDA's Unavailable Reporting (L) National Science Foundation & Department of Energy	Agree.	10/31/1997	Steve Bridgeman (303)273-3262
27	82	The School of Mines should remit federal grant close-out reports and reimbursement requests in a timely manner in order to meet close-out deadlines.	CFDA's Unavailable Reporting (L) See applicable federal entities in the detailed report comment	Agree.	10/31/1997	Steve Bridgeman (303)273-3262
<b>Department of Transportation</b>						
28	88	The Department of Transportation should improve on the closing of construction projects in a timely manner by implementing process change improvements throughout the construction and finals process. In addition, the Department should identify an individual responsible for the closeout of each project in a timely manner.	20.205 Special Tests and Provisions (N) Department of Transportation	Agree.	6/30/1998	George McCullar (303)757-9657

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